

FORM PTO-1340  
(REV. 10-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371

235.0001 0101

U.S. APPLICATION NO. (if known, see 37 CFR 1.51)  
not assigned 09/701947INTERNATIONAL APPLICATION NO.  
PCT/US99/23731INTERNATIONAL FILING DATE  
October 12, 1999PRIORITY DATE CLAIMED  
October 13, 1998TITLE OF INVENTION  
STABILIZED BIOACTIVE PEPTIDES AND METHODS OF IDENTIFICATION, SYNTHESIS AND USE

APPLICANT(S) FOR DO/EO/US

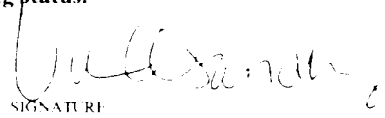
Elliot Altman

Applicant herewith submits to the United States Designated Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 16 below concern document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A FIRST preliminary amendment.  
☐ A SECOND or SUBSEQUENT preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information: Election Under 37 C.F.R. 3.71 and 3.73 and Power of Attorney (2 pgs.)

U.S. APPLICATION NO. <u>09/701947</u> not issued		INTERNATIONAL APPLICATION NO. <u>PCT/US99/23731</u>		ATTORNEY'S DOCKET NUMBER <u>235.0001.0101</u>		
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):</b> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <b>\$1000.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <b>\$860.00</b> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <b>\$710.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <b>\$690.00</b> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) ..... <b>\$100.00</b> <div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div>				<b>CALCULATIONS</b> PTO USE ONLY          <div style="border: 1px solid black; padding: 2px;">\$ 860.00</div>		
Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				<div style="border: 1px solid black; padding: 2px;">\$</div>		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE			
Total claims	61 - 20 =	41	X \$18.00	\$ 738.00		
Independent claims	11 - 3 =	8	X \$80.00	\$ 640.00		
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$270.00	\$		
<b>TOTAL OF ABOVE CALCULATIONS =</b>				\$ 2238.00		
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	1119.00	
<b>SUBTOTAL =</b>				\$	1119.00	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
<b>TOTAL NATIONAL FEE =</b>				\$	1119.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property				\$	40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$	1159.00	
				Amount to be refunded:	\$	
				charged:	\$	
a. <input checked="" type="checkbox"/> Checks in the amount of \$1119.00 and \$40.00 to cover the above fees are enclosed.  b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of \$_____ to cover the above fees. A duplicate copy of this sheet is enclosed.  c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>13-4895</u> . A duplicate copy of this sheet is enclosed.						
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</b>						
SEND ALL CORRESPONDENCE TO  Victoria A. Sandberg Mueting, Raasch & Gebhardt, P.A. P.O. Box 581415 Minneapolis, MN 55459						
				 SIGNATURE	Victoria A. Sandberg NAME	
				41,287 REGISTRATION NUMBER		

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Elliot Altman  
Serial No.: U.S. National Stage Application filing of PCT US99 23731 filed October 12, 1999  
Docket No.: 235.0001 0101  
Title: STABILIZED BIOACTIVE PEPTIDES AND METHOD OF IDENTIFICATION, SYNTHESIS AND USE

Commissioner for Patents  
ATTN: Box PCT  
Washington, D.C. 20231

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ Applicant Claims Small Entity Status.
- ☒ A return postcard.
- ☒ An Assignment to The University of Georgia Research Foundation, Inc. and Recordation Form Cover Sheet (3 pgs):
- ☒ A signed Declaration (3 pgs.)
- ☒ Election Under 37 C.F.R. §§3.71 and 3.73 and Power of Attorney 4 pgs.)
- ☒ An Information Disclosure Statement (1 pg.): 1449 Form (2 pg.): copy of International Search Report (3 pgs.) and copies of 28 cited documents.
- ☒ A check of \$1119.00 to cover application filing fee and check of \$40.00 for assignment recordation fee.
- ☒ Other: Transmittal Letter to the DO/EO/US (2 pgs.).

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

MUETING, RAASCH & GEBHARDT, P.A.  
P.O. Box 581415, Minneapolis, MN 55458 (612-305-1220)

By: Victoria A. Sandberg  
Name: Victoria A. Sandberg  
Reg. No.: 41,287  
Direct Dial: 612-305-1226

CERTIFICATE UNDER 37 CFR §1.10:

"Express Mail" mailing label number: EL542401520US

Date of Deposit: December 5, 2000

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Attn: Box PCT, Washington, D.C. 20231.

By: Louise M. Guggisberg  
Name: Louise M. Guggisberg

(SMALL ENTITY TRANSMITTAL UNDER RULE 1.10)